

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of )  
 )  
 Satyendra Kumar, )  
 Liang-Chy Chien, and )  
 Jae-Hoon Kim )  
 )  
 Serial No.: 10/070,396 )  
 )  
 Filed: March 1, 2002 )  
 )  
 For: FABRICATION OF ALIGNED )  
 LIQUID CELL/FILM BY )  
 SIMULTANEOUS ALIGNMENT )  
 AND PHASE SEPARATION )

Group Art Unit:

Certificate of Mailing

I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box PCT, U.S. Patent and Trademark Office, Washington, D.C. 20231 on this 27<sup>th</sup> day of June, 2001

*Cynthia M. Wilson*  
 Cynthia M. Wilson, Secretary to John J. Cunniff

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
 Box PCT  
 Washington, D.C. 20231

07/08/2002 LLANDGRA 06100040 180987 10070396

02 FC:122

5.00 CH

125.00 OP

In response to the May 8, 2002 Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), the Applicants, through their attorney, responds as follows.

The specification was described and claimed in International Application No. PCT/US00/24025 filed on September 1, 2000 and amended on October 24, 2001. A combined Declaration and Power of Attorney document has been executed by joint inventors Liang-Chy Chien and Jae-Hoon Kim and is submitted herein. Diligent efforts to obtain the execution of the combined Declaration and Power of Attorney by joint inventor Satyendra Kumar were unsuccessful. Satyendra Kumar has refused to sign the Declaration.

Therefore, joint inventors Liang-Chy Chien and Jae-Hoon Kim make this application on their own behalf and on behalf of the non-signing inventor under 37 CFR 1.47(a). A filing date is necessary to make a claim for priority from International Application Number PCT/US00/24025 filed September 1, 2000 and claiming priority of U.S. Provisional Patent Application Number 60/152,430 filed September 3, 1999. A diligent effort to prepare the application and obtain the

inventor's signature thereon was made.

Accompanying the enclosed Declaration is:

- 1) A Statement of Facts in Support of Filing on Behalf of Nonsigning Inventor executed by William R. Fuller;
- 2) A Statement of Facts in Support of Filing on Behalf of Nonsigning Inventor executed by Andrew B. Morton;
- 3) Exhibits A-F; and
- 4) The petition fee of \$130.00.

Respectfully submitted,

A handwritten signature in cursive script, reading "John J. Cunniff", written in black ink. The signature is fluid and stylized, with the first and last names being more prominent.

---

John J. Cunniff, Reg. No. 42,451

Renner, Kenner, Greive, Bobak, Taylor & Weber

First National Tower - Fourth Floor

Akron, Ohio 44308-1456

Telephone: (330) 376-1242

Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 11 2002

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/070,396	Satyendra Kumar	KSU.P0201
INTERNATIONAL APPLICATION NO.		
PCT/US00/24025		
I.A. FILING DATE	PRIORITY DATE	
09/01/2000	09/03/1999	

Ray L Weber  
 First National Tower Fourth Floor  
 Akron, OH 44308-1456

CONFIRMATION NO. 2900

371 FORMALITIES LETTER



\*OC000000008032386\*

Date Mailed: 05/08/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination
- Small Entity Statement

*Due 7/8/02*

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 305-3653

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/070,396	PCT/US00/24025	KSU.P0201

FORM PCT/DO/EO/905 (371 Formalities Notice)